PTO/SB/05 (08-03)
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## UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No.	27644.22
First Inventor	Daniel Leonard Floding, et al.
Title	APPARATUS FOR PERFORATING OR SLITTING HEAT SHRINK FILM
Express Mail Label No.	EV 190456641 US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS  ADDRESS TO: Commissioner for Patents  1.  Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2.  Applicant claims small entity status. See 37 CFR 1.27.  3.  Specification					1			Patent Application	1	
See MFEP chapter 600 concerning utility patent application contents.   Alexandria VA 22313-1459   Capture of the content of	APPLICATION ELEMENTS			ADDR	ADDRESS TO:			· 2		
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See 37 CFR 1.27.    See 37 CFR 1.27.   (if applicable, all necessary)   See		lubmit an original and a duplicate for fee processing)								
Specification [Total Pages 13 ] [preferred arrangement set forth below   - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a title be, or a computer program listing appendix - Background of the Invention - Baid Summary of the tinvention - Detailed Description - Detailed Description - Detailed Description - Claim(s) - Abstract of the Disclosure - Copies of IDS - Statement (IDS)/PTO-1449 - Citations - Total Sheets - Prefilminary Amendment - Abstract of the Disclosure of the prior application (37 CFR 1.63 (d)) - (for a continuation/divisional with Box 18 completed) - DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s) - named in the prior application, see 37 CFR - Single statement attached deleting inventor(s) - named in the prior application, see 37 CFR - Abstract of the Disclosure of the prior application notes and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: - Continuation Data Sheet under		T T T T T T T T T T T T T T T T T T T								
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- Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the Discourse - Brief Summary of Statement (IDS)/PTO-1449 - Copies of IDS Statement (	- [	Descriptive title o	of the Invention		i. ☐ CD-ROM or CD-R (2 copies); or					
- Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Summary of the Invention - Claim (Summary of Coopes of IDS (Summary of Claim	- ( - c	Cross Reference Statement Regar	to Related Applic	cations red R & D						
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- Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure - Claim(s) - Abstract of the Disclosure - Abstract of the Disclosure - Abstract of the Disclosure - Claim(s) - Abstract of the Disclosure - Claim(s) - Abstract of the Disclosure - Copies of IDS - Statement (IDS)/PTO-1449 - Cliations - Statement (IDS)/PTO-1449 - Copy of Priority Document(s) - (If foreign priority is claimed) - Statement (IDS)/PTO-1449 - Certified Copy of Priority Document(s) - (If foreign priority is claimed) - Statement (IDS)/PTO-1449 - Cliations - Statement (IDS)/PTO-1449 - Cliations - Statement (IDS)/PTO-1449 - Certified Copy of Priority Document(s) - (If foreign priority is claimed) - Statement (IDS)/PTO-1449 - Cliations - Statement (IDS)/PTO-1449 - Cliations - Statement (IDS)/PTO-1449 - Copy of Priority Document(s) - (If foreign priority is claimed) - Certified Copy of Priority Document(s) - (If foreign priority is claimed) - Certified Copy of Priority Document(s) - (If foreign priority				endix		ACCOMPAN	YING APP	LICATIONS PARTS		
- Brief Description of the Drawings ( if filed) - Detailed Description - Claim(s) - Claim(s) - Abstract of the Disclosure  4.					9. 🔲	9. Assignment Papers (cover sheet & document(s))				
- Claim(s) - Abstract of the Disclosure  4.  Drawing(s) (35 U.S.C.113)	- 6	Brief Description	of the Drawings (	( if filed)	10. 🔲				•	
Abstract of the Disclosure  4. Drawing(s) (35 U.S.C.113)			tion			(when there is	an assigne	ee) Attorney		
5. Oath or Declaration			isclosure		11.	11. English Translation Document (if applicable)				
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b. Copy from a prior application (37 CFR 1.63 (d))     (for a continuation/divisional with Box 18 completed)     i. DELETION OF INVENTOR(S)     Signed statement attached deleting inventor(s)     named in the prior application, see 37 CFR     1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76    Application Data Sheet under 37 CFR 1.76:   Continuation Data Sheet u			•	<del></del>	1	13. Preliminary Amendment				
(for a continuation/divisional with Box 18 completed) i. □ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. □ Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ □ Continuation □ Divisional □ Continuation-in-part (CIP) of prior application No: 60 / 473,372 Prior application information: Examiner □ For CONTINUINTON or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portlon has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  City State Zip Code  Country Number Registration No. (Attorney/Agent) 37,106  Registration No. (Attorney/Agent) 37,106		•	, -		14. 🖂					
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76 Application Data Sheet. See 37 CFR 1.76: Continuation Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No: 60 / 473,372 Art Unit: Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an eath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  City State Zip Code Country Name (Print/Type) Nelson R. Capes Registration No. (Attorney/Agent) 37,106					45 🗖					
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation Prior application in Divisional Prior application in Continuation Examiner  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application in from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  City State Zip Code Country Name (Print/Type) Nelson R. Capes Registration No. (Attomey/Agent) 37,106		_'			15. 🗀					
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1.63(d)(2) and 1.33(b).  Application Data Sheet. See 37 CFR 1.76  17.  Other: Transmittal Cover Letter with Certificate of Express Mailing  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation: Examiner Art Unit: Art Unit: Art Unit: Art Unit: Information or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  City State Zip Code  Country Telephone Fax  Name (Print/Type) Nelson R. Capes Registration No. (Attomey/Agent) 37,106	named in the prior application, see 37 CFR			'*'	(b)(2)(B)(i). Applicant must attach form PTO/SB/35					
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:    Continuation   Divisional   M Continuation-in-part (CIP)   Of prior application No: 60 / 473,372   Art Unit:	, , , , , , , , , , , , , , , , , , , ,			l _						
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Moderation Mo	6.	olication Data S	Sheet. See 37 (	CFR 1.76						
or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No: 60 / 473,372  Prior application information: Examiner Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number  32300  OR Correspondence address below  Name  Address  City  State  Zip Code  Country  Fax  Name (Print/Type)  Nelson R. Capes  Registration No. (Attorney/Agent)  37,106				Express	Mailing					
or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No: 60 / 473,372  Prior application information: Examiner Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number  32300  OR Correspondence address below  Name  Address  City  State  Zip Code  Country  Fax  Name (Print/Type)  Nelson R. Capes  Registration No. (Attorney/Agent)  37,106	18. If a CON	TINUING APPLI	CATION, check a	appropriate box, and suppl	y the requisi	te information be	low and in a	preliminary amendm	ent,	
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is considered a part of the disclosure of the accompanying or divisional application and is nereby incorporated by reference. The incorporation when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS    State   Single   Single	Prior application information: Examiner									
State  Country  Name (Print/Type)  Nelson R. Capes  19. CORRESPONDENCE ADDRESS  32300  OR □ Correspondence address below  Correspondence address below  Zip Code Fax  Registration No. (Attorney/Agent)  37,106	ie considerer	For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b,								
Name	be relied upo	on when a portion	n has been inadve	ertently omitted from the sub	mitted applic	ation parts.				
Name  Address  City  Country  State  Country  Telephone  Registration No. (Attorney/Agent)  37,106	19. CORRESPONDENCE ADDRESS									
Name         Address           City         State         Zip Code           Country         Telephone         Fax           Name (Print/Type)         Nelson R. Capes         Registration No. (Attorney/Agent)         37,106	⊠ Custon	ner Number		32	300	(	OR 🗆 C	orrespondence addres	s below	
Address         State         Zip Code           Country         Telephone         Fax           Name (Print/Type)         Nelson R. Capes         Registration No. (Attorney/Agent)         37,106				<u>-</u>	_					
City         State         Zip Code           Country         Telephone         Fax           Name (Print/Type)         Nelson R. Capes         Registration No. (Attorney/Agent)         37,106	Name									
City         State         Zip Code           Country         Telephone         Fax           Name (Print/Type)         Nelson R. Capes         Registration No. (Attorney/Agent)         37,106										
State   Zip Code	Address	Address								
State   Zip Code	City									
Name (Print/Type)  Nelson R. Capes  Registration No. (Attorney/Agent)  37,106		State			<del> </del>	Zip	Code			
Name (Finitity)	Country			Telephone	<u> </u>		Fax			
Signature Date October 7, 2003	Name (Print/	/Туре)	Nelson R. Cape	es	Registration	Registration No. (Attorney/Agent)		37,106		
	Signature	nature MMA			Date October 7, 2003					

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-03)

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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 502.00

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Complete if Known				
Application Number	Not yet assigned			
Filing Date	October 7, 2003			
First Named Inventor	Daniel Leonard Floding			
Examiner Name				
Art Unit				
Attorney Docket No.	27644 22			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
X Check Credit card Money Other None	3. ADDITIONAL FEES				
X Deposit Account:	Large Entity   Small Entity				
D	Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid				
Account Number	1051 130 2051 65 Surcharge - late filing fee or oath				
Deposit Account Briggs and Morgan, P.A.	1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet				
Name	1053 130 1053 130 Non-English specification				
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination				
X Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIR prior to				
Charge fee(s) indicated below, except for the filing fee	1805 1,840* 1805 1,840* Requesting publication of SIR after				
to the above-identified deposit account.	Examiner action				
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month				
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month				
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month				
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254 1,480 2254 740 Extension for reply within fourth month				
1001 770 2001 385 Utility filing fee 385	1255 2,010 2255 1,005 Extension for reply within fifth month				
1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal				
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal				
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing				
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 385	1452 110 2452 55 Petition to revive - unavoidable				
	1453 1,330 2453 665 Petition to revive - unintentional				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330 2501 665 Utility issue fee (or reissue)				
Extra Claims below Fee Paid  Total Claims 33 20** = 13 x 9 = 117	1502 480 2502 240 Design issue fee				
Independent 20 = 1 A	1503 640 2503 320 Plant issue fee				
Claims Multiple Dependent	1460 130 1460 130 Petitions to the Commissioner				
	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)				
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806 180 1806 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)				
1202 18 2202 9 Claims in excess of 20	1809 770 2809 385 Filing a submission after final rejection				
1201 86 2201 43 Independent claims in excess of 3	(37 CFR 1.129(a))				
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))				
1204 86 2204 43 ** Reissue independent claims over original patent	1801 770 2801 385 Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application				
	Other fee (specify)				
SUBTOTAL (2) (\$\) 117  **or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)				

(Complete (if applicable)) SUBMITTED BY Registration No. 37,106 Nelson R. Capes 612-977-8586 Telephone Name (Print/Type) Date October 7 Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re. Appln.:

Daniel Leonard Floding, et al.

For:

APPARATUS FOR PERFORATING OR SLITTING HEAT SHRINK

**FILM** 

Serial No.:

Not yet assigned

Filed:

October 7, 2003

Attorney:

Nelson R. Capes

Attorney

Docket No.:

27644.22

Additional Fees:

Charge to Deposit Account 023732

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

## TRANSMITTAL COVER LETTER

Sir:

Enclosed for filing are the following:

- 1. Utility Patent Application Transmittal;
- 2. Fee Transmittal for FY 2004;
- Specification, Claims and Abstract (13 pgs.); 3.
- 4. Eight (8) sheets of informal drawings;
- 5. A fully executed Declaration for Utility Patent Application (3 pgs.);
- 6. A check in the amount of \$502.00; and
- 7. Postcard receipt.

Respectfully submitted,

10/7/03 Dated:

Nelson R. Capes (Reg. No. 37,106)

**BRIGGS AND MORGAN** 

2200 IDS Center

80 South Eighth Street Minneapolis, MN 55402

Telephone: (612) 977-8486

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this document, as well as the above-identified documents, are being deposited with the United States Postal Service as Express Mail, Receipt No. EV 190456641 US in an envelope addressed to Mail Stop - PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 23/73-1450, on the dated indicated below.

By

Date 10/7/03

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